e-ASIA Joint Research Program Final Report

1. Project title: Whole-genome sequencing of drug-resistant *Mycobacterium tuberculosis* strains for diagnostics and outbreak detection

2. Joint Research period : $\frac{07/01/2015}{06/30/2018}$

3. Research Team:

■ New Zealand team (up to 6 people including the Principal Investigator) Funding period: 07, 01, 2015 - 06,30,2018

Total Funded Amount (in Local Currency): NZD 450,000

| | Name | Position | Affiliation | Role in the p roject |
|--------------|------------------------|---|---|----------------------|
| PI | Prof Gregory Cook | Professor | Department of Microbiology and Immunology Otago University | PI |
| Collaborator | Dr Htin Lin Aung | Sir Charles Hercus Research Fellow | Department of Microbiology and Immunology Otago University | Investigator, ECR |
| Collaborator | Prof John Crump | Professor | Center for International Health, Otago University | Investigator |
| Collaborator | Prof Philip Hill | Professor | Center for International Health, Otago University | Investigator |
| Collaborator | Prof Peter Lockhart | Professor | Massey University | Investigator |
| Collaborator | Dr Sally Roberts | Director | New Zealand Tuberculosis Reference Laboratory | Investigator |
| | T | otal number o | f participants inclu | ding students: 6 |

■ Myanmar team (up to 6 people including the Principal Investigator)

Funding period: 07, 01, 2015 - 06,30,2018 Total Funded Amount (in Local Currency): In Kind

| | Name | Position | Affiliation | Role in the project | | | | |
|--|-----------------------|-------------------------------|---|---------------------|--|--|--|--|
| PI | Prof Wah Win Htike | Professor | Department of Microbiology, University of Medicine 1 | PI | | | | |
| Collaborator | Prof Kyi Kyi Thinn | Professor | Department of Microbiology, University of Medicine 1 | Co-PI | | | | |
| Collaborator | Dr Thanda Tun | Consultant Microbiologist | Department of Microbiology, University of Medicine 1 | Investigator | | | | |
| Collaborator | Dr Si Thu Aung | Programme Manager | National TB Programme | Investigator | | | | |
| Collaborator | Dr Wint Wint Nyunt | Consultant Microbiologist | National TB Programme | Investigator | | | | |
| Collaborator | Dr Thanda Lwin | Deputy Director General | Department of Public Health | Investigator | | | | |
| Total number of participants including students: 6 | | | | | | | | |

■ Indonesia team (up to 6 people including the Principal Investigator)

Funding period: 07, 01, 2015 - 06,30, 2018 Total Funded Amount (in Local Currency): In Kind

| | Name | Position | Affiliation | Role in the project | | | |
|--|---------------------------|----------|--|---------------------|--|--|--|
| PI | Dr. Bachti Alisjahbana | Prof | TB-HIV research Center, Medical Faculty, Universitas Padjadjaran / Hasan Sadikin Hospital. | PI | | | |
| Collaborator | Dr. Andriani Anggraini | | Bandung Referral Laboratory | Investigator | | | |
| Collaborator | Dr Lidya Chaidir | Head | TB Lab and Molecular Microbiology | Investigator | | | |
| Collaborator | | | | | | | |
| Total number of participants including students: 3 | | | | | | | |

4. Summary of the joint research

Tuberculosis (TB) is a curable disease caused mainly by the bacterium Mycobacterium tuberculosis, and yet paradoxically it claims over 1.7 million lives annually. Of growing concern is the prevalence of multidrug-resistant TB (MDR-TB) and extensively drug-resistant (XDR-TB), being the main cause of deaths related to antimicrobial resistance (AMR). The World Health Organization (WHO) recognises that rapid detection of drug-resistant strains of M. tuberculosis is required to control the spread and transmission of MDR-TB and XDR-TB strains and to prevent the death of patients awaiting diagnosis. Conventional laboratory procedures for drug susceptibility testing (DST) take several weeks. To overcome this limitation, the Xpert MTB/RIF diagnostic test was developed. Xpert MTB/RIF is an automated diagnostic test that can identify M. tuberculosis DNA and resistance to rifampicin. Rifampicin resistance is considered a "surrogate marker" for detecting MDR-TB because more than 90% of all rifampicin clinical isolates are associated with isoniazid resistance, hence, MDR-TB. Unfortunately, this only interrogates the most frequent resistance mutations for rifampicin, resulting in excellent specificity but poor sensitivity for other drugs used to treat drug-resistant TB patients. Whole-genome sequencing (WGS) has recently been shown to overcome the shortcomings of current approaches to diagnosis in low burden settings.

In this study, we used WGS coupled with phenotypic DST to investigate the (1) drug resistance pattern, (2) genetic basis of drug resistance in and between countries, and (3) feasibility of using WGS for the management of TB and evaluate the performance of the Xpert MTB/RIF diagnostic test. We sequenced 300 and 150 Xpert RIF-resistant M. tuberculosis isolates respectively from Myanmar and Indonesia, two high drug-resistant burden countries in the South East Asia Region.

Our study revealed the high prevalence of resistance to second-line drugs among the MDR-strains that are circulating in Myanmar. Four percent of recruited MDR-TB cases (Xpert RIF-resistant cases) are found to be XDR-TB cases in both countries and 18% and 8% of MDR-TB cases represent pre-XDR cases in Myanmar and Indonesia respectively. We recommend that new classes of TB drugs are required to construct appropriate regimens.

We found that drug-resistant strains circulating in Myanmar harbor drug-resistance conferring mutations, which can be detected by the commercially available rapid point of care assays such as the GenoTypeTBDRsl. We

recommend that this be used as a rule-in test (a triage system) for pre-XDR and XDR cases, thereby using WGS to guide the treatment of these cases for cost-effectiveness. We used this approach to guide the treatment of two XDR-TB cases in Myanmar with new TB drugs as proof of concept. We also suggest a larger study using WGS for surveillance purposes at a national level, based on evidence in this study that there were direct transmissions of MDR-TB cases in the communities in Myanmar. In Indonesia, we found that (15% of isolates) discrepancies between Xpert RIF resistance test results and phenotypic DST; Further validation with targeted sequencing highlighted the potential to improve laboratory quality control system.

Through this study, we demonstrated that WGS can be used to (1) identify the drug-resistance pattern, (2) uncover the genetic basis of drug resistance, and (3) unravel transmission dynamics in high burden TB settings. The use of WGS can be expanded to other global infectious diseases including malaria and HIV. Therefore, we recommend this state-of-the-art WGS approach be used to provide evidence for effective policy intervention for targeted control measures, thereby facilitating the tackling of the significant AMR burden that the world is currently facing.

5. Outputs and Anticipated Outcomes of Joint Research5-1 Scientific achievements and implemented activities of the joint research

We found that drug-resistant strains circulating in Myanmar harbor drug-resistance conferring mutations, which can be detected by the commercially available rapid point of care assays such as the GenoTypeTBDRsl. We recommend that this be used as a rule-in test (a triage system) for pre-XDR and XDR cases, thereby using WGS to guide the treatment of these cases for cost-effectiveness. We used this approach to guide the treatment of two XDR-TB cases in Myanmar with new TB drugs as proof of concept. We also suggest a larger study using WGS for surveillance purposes at a national level, based on evidence in this study that there were direct transmissions of MDR-TB cases in the communities in Myanmar. In Indonesia, we found that (15% of isolates) discrepancies between Xpert RIF resistance test results and phenotypic DST; Further validation with targeted sequencing highlighted the potential to improve laboratory quality control system.

In addition, this research has resulted in a number of scientific publications and been presented at scientific and non-scientific conferences to ensure the widest dissemination of the results to the public and research community.

5-2 Synergistic effects of the international joint research

This project combined international research expertise in TB at University of Otago, University of Medicine (1), and Universitas Padjadjaran, with the reference laboratories in the two high TB burden countries (Myanmar and Indonesia), along with external collaboration with world-leading researchers at the University of Cambridge, UK. This project addressed one of the greatest threats to global TB control, drug resistance, through the application of a state of the art technology in populations that are in most need. This project built completely new linkage between reference facilities and academic institutions in Indonesia and Myanmar involved in tackling the TB epidemic. The external link with the University of Cambridge enabled a link to a developing global consortium.

5-3 Broader impacts including contribution to society

Through this project, we have worked closely with the TB policymakers such as the National Tuberculosis Programme, Ministry of Health and Sports, Myanmar. Employing our experience in combatting TB in Myanmar, we are in collaboration with the New Zealand Tuberculosis Reference Laboratory to incorporate next-generation whole-genome sequencing (WGS) into the routine diagnosis of TB in New Zealand. This initiative is strongly in line with the WHO's call for strong political commitment at high level and multisectoral action using innovative technologies to expedite progress to combat TB. We will also provide evidence from this study to the TB healthcare policymakers in Indonesia. In addition, this research has resulted in a number of scientific publications and been presented at scientific and non-scientific conferences to ensure the widest dissemination of the results to the public and research community.

5-4 Development and sustainability of the cooperation

This research project has contributed to developing the health research workforce in New Zealand, as well as assisting in capacity building in New Zealand and developing countries. This research has allowed Dr Aung to become a significant leader in the use of WGS technologies to tackle infectious diseases. Dr Aung was awarded a prestigious Sir Charles Hercus Fellowship from HRC. The National Tuberculosis Programme in Myanmar is now evaluating the feasibility of incorporating WGS in the management of tuberculosis and drug-resistant tuberculosis in Myanmar. Building further on the successful foundations laid by this project, we aim to expand our research in collaboration

with Australia into other high TB burden countries in South East Asia such as Cambodia and the Philippines.

6. Future Goals and Plan of Activities after the project period

Building further on the successful foundations laid by this project, we aim to expand our research in collaboration with Australia into other high TB burden countries in South East Asia such as Cambodia and the Philippines.

- 7. Scientific Achievements and Implemented Activities (Publication, Research Exchange, Workshop, etc.)
 - *For this item, please fill in the attached Excel file.
- 8. Recommendations and Comments to the Program

The e-ASIA Program represents a fantastic opportunity to assist in capacity building in developing countries and strengthening the international relationships.

9. Others (agenda of workshop, photos of research teams, meetings, and etc.)

1. Original Publication of Articles etc.

1.1 Original Publications (Articles co-authored among Research Teams)

| All Authors' Names, Title, Journal Name, Volume, Edition, Page, Year of Publication | DOI Code | Publication Status | Remarks (e.g. publication in top level journals etc.) |
|---|-----------------------------------|-----------------------|---|
| Aung HL, Tun T, Moradigaravand D, Köser CU, Nyunt WW, Aung ST, Lwin T, Thinn KK, Crump JA, Parkhill J, Peacock SJ, Cook GM, Hill PC (2016) Whole-genome sequencing of multidrug-resistant Mycobacterium tuberculosis isolates from Myanmar. Journal of Global Antimicrobial Resistance 6: 113-117 | 10.1016/j.jgar.2016.04.0 08 | published | |
| Tun T, Nyunt WW, Latt KZ, Samaranayaka A, Crump JA, Thinn KK, Cook GM, Aung HL (2016) Drug-resistant tuberculosis among previously treated patients in Yangon, Myanmar. International Journal of Mycobacteriology 5: 366-367 | 10.1016/j.ijmyco.2016.0 6.004 | published | |
| Tun T, Aye KS, Nyunt WW, Crump JA, Nakajima C, Suzuki Y, Thinn KK, Cook GM, Aung HL (2016) Genotypic diversity of <i>Mycobacterium tuberculosis</i> strains in Myanmar. Infectious Diseases 49(3):237-239 | 10.1080/23744235.2016 .1231419 | published | |
| Aung HL, Tun T, Permina E, Nyunt WW, Aung ST, Thinn KK, Crump JA, Cook GM (2016) Draft genome sequences of the drug-resistant <i>Mycobacterium</i> tuberculosis isolates from Myanmar. Genome Announcements 4: (5). | 10.1128/genomeA.00850 | published | |
| Nyunt WW, Aung ST, Lwin T, Cook GM, Aung HL (2017) First- and second-line anti-tuberculosis drug resistance patterns among previous treatment failure patients in Myanmar. Journal of Global Antimicrobial Resistance 9:34-35. | 10.1016/j.jgar.2017.02.0 07 | published | |
| Nyunt WW, Aung ST, Cook GM, Aung HL (2017) Evaluation of the MTBDRs/ test for detection of second-line resistance in drug-resistant <i>Mycobacterium</i> tuberculosis strains in Myanmar. Infectious Disease 49:(11-12) 865-866 | 10.1080/23744235.2017 .1341056 | published | |
| Aung HL, Tun T, Nyunt WW, Fong Y, Crump JA, Thinn KK, Aung ST, Cook GM. (2017) Association between mutations in anti-tuberculosis drug resistance-conferring genes and treatment outcomes in Myanmar. Infectious Diseases | 10.1080/23744235.2017 .1404632 | published | |
| Aung HL, Nyunt WW, Fong Y, Cook GM, Aung ST., (2017) First two extensively drug-resistant tuberculosis cases from Myanmar treated with bedaquiline. Clinical Infectious Diseases 65(3):531-532 | 10.1093/cid/cix365 | published | |
| | Total | • | • |

1. 2 Original Publications (Articles by Single Team only)

| All Authors' Names, Title, Journal Name, Volume, Edition, Page, Year of Publication | DOI Code | Publication Status | Remarks (e.g. publication in top level journals etc.) | Country name of the team |
|---|--------------------------|-----------------------|---|--------------------------|
| Mulholland CV, Ruthe A, Cursons RT, Durrant R, Karalus N, Coley K, Bower J, Permina E, Coleman MJ, Roberts SA, Arcus VL, Cook GM, Aung HL (2017) Rapid Diagnosis of the <i>Mycobacterium tuberculosis</i> Rangipo strain responsible for the largest recurring TB cluster in New Zealand. Diagnostic Microbiology and Infectious Disease 88:138-140 | 110.1016/j.djagmjcrobjo. | published | | New Zealand |
| Mulholland CV, Thorpe D, Cursons RT, Karalus N, Fong Y, Arcus VL, Cook GM, Aung HL (2018) Evaluation of the Rapid Molecular Diagnostic Test for the New Zealand <i>Mycobacterium tuberculosis</i> Rangipo Strain in a Clinical Setting. New Zealand Medical Journal 131(1478):70-72 | | published | | New Zealand |

2. presentations at Academic Conferences etc. (Seminars, Workshops, Symposia)

2. 1 Conference Presentations (Joint Presentations among Research Teams)

| Date | Type of Presentation | Speaker, "Title", Conference Name, Location, etc. | | |
|-------------------|------------------------|---|--|--|
| March 5, 2017 | Guest/Invited Speaker | Htin Lin Aung, The implication of whole-genome sequencing in the control of tuberculosis, 24th Myanmar Military | | |
| Waren 3, 2017 | duest/ invited opeaker | Medical Conference, Yangon, Myanmar | | |
| December 13 2017 | Oral Presentation | Htin Lin Aung, Combatting Tuberculosis at International Frontlines , 3rd One Health Aotearoa Conference, | | |
| December 13 2017 | Oral Presentation | Wellington, New Zealand | | |
| Eabruary 22, 2019 | Guest/Invited Speaker | Htin Lin Aung, Next- and third generation sequencing as a molecular weapon to combat TB on the frontline in | | |
| February 22, 2018 | Guest/mvited Speaker | Myanmar, 25th Myanmar Military Medical Conference, Yangon, Myanmar | | |

3 Total

2. 2 Conference Presentations (by Single Team)

| Date | Type of Presentation | Speaker, "Title", Conference Name, Location etc. | Country name of the team |
|-------------------|-----------------------|--|--------------------------|
| January 5, 2016 | Oral Presentation | Thanda Tun, Multidrug-Resistant Mycobacterium tuberculosis strains in Myanmar patients, 44th Myanmar Health Resarch Congress, Yangon, Myanmar | Myanmar |
| November 11, 2015 | Guest/Invited Speaker | Htin Lin Aung, Whole-genome sequencing for the management of drug-resistant tuberculosis, 8th Otago International Health Research Network Annual Conference, Dunedin New Zealand | New Zealand |
| September 7, 2017 | Guest/Invited Speaker | Htin Lin Aung, The implications of Whole-genome sequencing for the management of drug-resistant tuberculosis , National Symposium on Infectious Diseases: Key Opportunities and Emerging Therapies, Queenstown, New Zealand | New Zealand |

3 Total

3. Workshops, Seminars, Symposia and Other Events (Organized by the Project)

| Event duration | Name of Organizer | Title of the Event | Location (Country, City, Venue) | Number of Participants (Including Team Members) | Overview |
|--------------------|--|--------------------|---------------------------------|--|----------|
| February 9-13 2016 | Dr Htin Lin Aung, Professor Sharon Peacock | WGS workshop | Yangon, Myanmar | 15 | |
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1 Total

4. Record of Research Exchanges

| Date of Departure | Date of Return | Last Name & First Name | Country of Affiliation | Affiliation | Position | Exchange Destination (Country, City, Research Organization etc) | Description of Exchange Content/Purpose | Duration of Exchange (autocompleted) |
|-------------------|---|---------------------------|---------------------------|---------------------|-----------|--|---|--|
| October 14, 2015 | October 20, 2015 | Aung, Htin Lin | New Zealand | University of Otago | Dr | University of Medicine 1 and National TB Programme, Myanmar | | 7 |
| October 21, 2015 | October 26, 2015 | Aung, Htin Lin | New Zealand | University of Otago | Dr | Universitas Padjadjaran / Hasan Sadikin Hospital Bangdaung Referral Laboratory, Indonesia | | 7 |
| Octobber 14, 2015 | Octobber 20, 2015 | Hill, Philiip | New Zealand | University of Otago | Professor | University of Medicine 1 and National TB Programme, Myanmar | | 7 |
| October 21, 2015 | tober 21, 2015 October 26, 2015 HIII, Philiip | | New Zealand | University of Otago | Professor | Universitas Padjadjaran / Hasan Sadikin Hospital Bangdaung Referral Laboratory, Indonesia | | 7 |
| February 9, 2016 | February 13, 2016 | Aung, Htin Lin | New Zealand | University of Otago | Dr | University of Medicine 1 and National TB Programme, Myanmar | | 4 |
| July 9 2016 | July 15 2016 | Aung, Htin Lin | New Zealand | University of Otago | Dr | University of Medicine 1 and National TB Programme, Myanmar | | 6 |
| March 6, 2017 | March 10 2017 | Cook, Gregory | New Zealand | University of Otago | Professor | University of Medicine 1 and National TB Programme, Myanmar | | 4 |
| July 15, 2017 | July 20 2017 | Hill, Philiip | New Zealand | University of Otago | Professor | Universitas Padjadjaran / Hasan Sadikin Hospital Bangdaung Referral Laboratory, Indonesia | | 6 |
| February 19 2018 | February 23, 2018 | Cook, Gregory | New Zealand | University of Otago | Professor | University of Medicine 1 and National TB Programme, Myanmar | | 5 |
| February 19 2018 | February 23, 2018 | Aung, Htin Lin | New Zealand | University of Otago | Dr | University of Medicine 1 and National TB Programme, Myanmar | | 5 |

Total (Person) 9 Total (Persond-day) 5.

5. Patent Applications

5. 1 Independent Applications by Single Team

| Application Number | Name of Patent/Patent Name | Application Date | Patent Applicants (Fill in All Members) | Publication Number (leave blank if unpublished) | Inventor | Country of Application | Registration Number (leave blank if unregistered) | Country Name of the Team |
|--------------------|-------------------------------|------------------|---|--|----------|------------------------|---|-----------------------------|
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0 Total (Number of Registration)

5. 2 Joint Applications

| Application Number | Name of Patent/Patent Name | Application Date | Patent Applicants (Fill in All Members) | Publication Number (leave blank if unpublished) | Inventor | Country of Application | Registration Number (leave blank if unregistered) |
|--------------------|-------------------------------|------------------|---|--|----------|------------------------|---|
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0 Total (Number of Application)

0 Total (Number of Registration)

6. Awards

| Date of Award | Name of Award | Recipient | Remarks | Country Name of the Team |
|-------------------|--|-----------------------------|---------|-----------------------------|
| September 3, 2015 | New Zealand - ASEAN award | Htin Lin Aung | | New Zealand |
| January 9, 2016 | Second Prize for Best Paper, 44th Myanmar Health Research Congress | Thanda Tun | | Myanmar |
| July 6, 2017 | University of Otago Resarch Group Award | Htin Lin Aung, Gregory Cook | | New Zealand |
| November 2, 2017 | Sir Charles Hercus Health Research Fellow | Htin Lin Aung | | New Zealand |
| July 27, 2018 | ZICO ASEAN 40 Under 40 Award | Htin Lin Aung | | New Zealand |
| August 28, 2018 | illumina Emerging Researcher Award | Htin Lin Aung | | New Zealand |
| 1 | a Total | | | |

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